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PATENT 5181-49801/P4435DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/783,262 Filed: February 20, 2004

Inventors:

Bernard A. Traversat Michael J. Duigou Thomas E. Saulpaugh Gregory L. Slaughter

Title: CACHING MECHANISM

FOR A VIRTUAL HEAP

Examiner: Anderson, Matthew

Group/Art Unit: 2186

Atty. Dkt. No: 5181-49801

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

Robert C. Kowert

November 11, 200

November 11, 2004 Date

COMMUNICATION REGARDING INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In the Notice of Allowance dated August 30, 2004, the Examiner indicated that copies of foreign patent documents "300516" and "351536", as well as a copy of "Java Remote Method Invocation Specification," were not included in the filing of this application or that of the parent application and, therefore, have not been considered. Applicants note that the references were properly filed in the parent application on August 13, 2001, as reference "B1"(Java Remote Method Invocation Specification) and on September 17, 2001, as references "C5"(300516) and "C6"(351536). Enclosed are copies of the Forms PTO-1449 from the parent application and copies of the date-stamped, return receipt postcards evidencing receipt of references B1-B85 and C1-C43/D1-D9 by the U.S. Patent Office.

For the Examiner's convenience, another copy of these references are being provided herewith. Since the references were properly submitted in the parent application, Applicants assert that the Information Disclosure Statements were in complete compliance with the rules. Applicants respectfully request the Examiner to consider references B1, C5 and C6 from the enclosed Forms PTO-1449, and returned initialed and signed copies of the Forms PTO-1449 for our files.

Respectfully submitted,

Robert C. Kowert

Reg. No. 39,255

Attorney for Applicant(s)

MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C. P. O. Box 398 Austin, Texas 78767 (512) 853-8800

Date: November 11, 2004

NOV 1 5 2004

DOCUMENT NUMBER

Form PTO-1449 (modified List of Patents and Publishers For Applicant's Information Disclosure Statement

REF.

·EXAM.

ATTY. DKT. NO. 5181-49800

SERIAL NO. 09/587,110

SUB

TRANSLATION

APPLICANT: Traversat et al.

DATE

GROUP: 2759

CLASS

(Use several sheets if necessary)

FILING DATE: June 2, 2000

COUNTRY

U.S. PATENT DOCUMENTS

INITIALS	DES.	DOCUMENT NUMBER	DAIE	COUNTRI	CLASS	CLASS	YES/NO
	D1	5,778,187	<i>7/</i> 7/98	Monteiro, et al.			
	D2	5,832,529	11/3/98	Wollrath, et al.			
	D3	6,016,500	1/18/00	Waldo, et al.			
		FOR	EIGN PATE	ENT DOCUMENTS			
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
	Cl	11-45187	10/10/97	JP			
	C2	2 253 079	8/26/92	GB			
	C3	2 262 825	6/30/93	GB			
	C4	2 305 087	3/26/97	GB			
	C5	300 516	1/25/89	EP			
	C6	351 536	1/24/90	EP			
	C7	384 339	8/29/90	EP			
-	C8	472 874	3/4/92	EP			
	C9	474 340	3/11/92	EP			
	C10	497 022	8/5/92	EP			
•	C11	555 997	8/18/93	EP			
	C12	565 849	10/20/93	EP		· · ·	
	C13	569 195	11/10/93	EP			
	C14	697 655	2/21/96	EP			
	C15	660 231	6/28/95	EP			
	C16	651 328	5/3/95	EP			
	C17	625 750	11/23/94	EP			
	C18	718 761	6/26/96	EP			
	C19	767 432	4/9/97	EP			
	C20	778 520	6/11/97	EP			
	C21	794 493	9/10/97	EP			

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Form PTO-1349 (modified)

List of Patents and Publication
For Applicant's Information
Disclosure Statement
(Use several sheets if necessary)

ATTY. DKT. NO. 5181-49800

APPLICANT: Traversat et al.

GROUP: 2759

SERIAL NO. 09/587,110

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FOREI	GN P	ATENT	DOCIM	MENTS.
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EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
	C22	803 810	10/29/97	EP			
	C23	803 811	10/29/97	EP			
	C24	805 393	11/5/97	EP			
	C25	810 524	12/3/97	EP			
	C26	817 020	1/7/98	EP			
	C27	817 022	1/7/98	EP			
	C28	817 025	1/7/98	EP			
	C29	836 140	4/15/98	EP		-	
···	C30	92/07335	4/30/92	WO			
	C31	92/09948	6/11/92	WO			
	C32	93/25962	12/23/93	WO			
	C33	94/03855	2/17/94	WO			
	C34	96/10787	4/11/96	WO		·	
	C35	96/03692	2/8/96	WO			
	C36	96/18947	6/20/96	WO		***	
	C37	96/24099	8/8/96	wo			
	C38	98/02814	1/22/98	WO			
	C39	98/04971	2/5/98	wo			
		OTHER ART (Including Autho	r, Title, Date, Pertinent F	ages, Etc.)		
	C40						
	C41	Coulouris, et al., "Distribute	d Systems Conce	ots and Designs," Second Ed	lition, Addiso	on-Wesley,	1994.
	C42	Mullender, "Distributed Sys	tems," Second Ed	ition, Addison-Wesley, 199	3.		
· · · · · · · · · · · · · · · · · · ·	C43	Lindholm, et al., "The Java	гм Virtual Machin	e Specification," Addison V	Vesley, 1996.		
	D4	"SOAP: Simple Object Adhttp://msdn.Microsoft.com		nsdn online Web Worksho pspec.asp, 34 pages.	p, Microsoft,	, April 26, 2	000,
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	D6	Microsoft, "Microsoft.NE 2000, 8 pages.	T: Realizing the l	Next Generation Internet,"	A Microsoft	White Pape	er, June

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DATE CONSIDERED:

Form PTO-1449 (modified) ATTY, DKT, NO. 5181-49800 SERIAL NO. 09/587,110 List of Patents and Padications APPLICANT: Traversat et al. **GROUP: 2759** For Applicant's Information Disclosure Statement (Use several sheets if necessary) FILING DATE: June 2, 2000 K. F. Eustice, et al., "A Universal Information Appliance," IBM Systems Journal, Vol. 38, No. 4, 1999, Pp. 575-601. Wycoff et al., "T Spaces," IBM Systems Journal, Vol. 37, No. 3-Java Technology, August 1998, 36 pages. **D8** Steve Morgan, "Jini to the Rescue," IEEE Spectrum, April 2000, Vol. 37, No. 4, 8 pages. D9

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DATE CONSIDERED:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):

Traversat et al

Serial No.:

09/587,110

Filing Date:

06/02/00 CACHING MECHANISM FOR A VIRTUAL HEAP

Title: CACHING MECHA: Atty. Docket No. 5181-49800; P4435

The date stamp of the mail room of the U.S. Patent and Trademark Office hereon will acknowledge receipt of the attached 1) Information Disclosure Statement w/accompanying Form PTO-1449 and references C1 – C43 & D1 - D9; and 2) Return Postcard.

RCK\pwg

Via First Class Mail

date:

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Form PTO-144 (modified) List of Patents and Publications

For Applicant's Information Disclosure Statement

ATTY. DKT. NO. 5181-49800

SERIAL NO. 09/587,110

APPLICANT: Traversat et al.

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В3	Ahmed, et al., "A Program Building Tool for Parallel Applications," Yale University, pp. 1-23, December 1, 1993.			
B4	Aldrich, et al., "Providing Easier Access to Remote Objects in Client-Server Systems," System Sciences, 1998, Proceedings of the 31 st Hawaii Internat'l. Conference, Jan. 6-9, 1998, pp. 366-375.			
B5	Aldrich, et al., "Providing Easier Access to Remote Objects in Distributed Systems," Calif. Institute of Technology, www.cs.Caltech.edu/%7Ejedi/paper.html, Nov. 21, 1997.			
В6	Anderson, et al., "Persistent Linda: Linda + Transaction + Query Processing," Proceedings of the 13 th Symposium on Fault Tolerant Systems, pp. 93-109, 1991.			
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В8	Alexander, et al., "Active Bridging," Proceedings of the ACM/SIGCOMM'97 Conference, Cannes, France, September, 1997.			
B9	Beech, et al., "Object Databases as Generalizations of Relational Databases," Computer Standards & Interfaces, Vol. 13, Nos. 1/3 pp. 221-230, Amsterdam, NL, January 1991.			
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B18	Cannon, et al., "Adding Fault-Tolerant Transaction Processing to LINDA," Software-Practice and Experience, Vol. 24(5), pp. 449-466, May 1994.			
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SERIAL NO. 09/587,110

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B30	Drexier, et al., "Incentive Engineering for Computational Resource Management," The Ecology of Computation, Elsevier Science Publishers B.V., pp. 231-266, 1988.				
B31	Gelernter, et al., "Parallel P	rogramming in Linda," Yale University, pp. 1-21,	January 1995.		
B32	Droms, "RFC 1541 Dynamic Host Configuration Protocol," http://www.cis.ohio-state.edu.htbin/rfc/rfc1541.html , pages 1-33, October 1993.				
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B36	B36 Gottlob, et al., "Extending Object-Oriented Systems with Roles," ACM Transactions On Information Systems Vol. 14, NO. 3, pp. 268-296, July 1996.				
B37	Gray, et al. "Leases: An Eff pp. 202-210, 1989.	icient Fault-Tolerant Mechanism for Distributed I	File Cache Consistency," ACM,		
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List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)

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B52	Thompson, "Regular Expression Search Algorithm," Communications of the ACM, Vol. II, No. 6, p. 149 et seq., June 1968.
B53	Venners, "Jini Technology, Out of the Box," JAVAWORLD, 'Online!, pp. 1-4, December 1998.
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B 55	Wilson, et al., "Design of the Opportunistic Garbage Collector," Proceedings of the Object Oriented Programming Systems Languages And Applications Conference, New Orleans, Vol. 24, No. 10, October 1989.
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List of Patents and Resolications For Applicant's Information

Disclosure Statement (Use several sheets if necessary)

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B66	Orfali, et al., "The Essential Distributed Objects Survival Guide," Chapter 11: Corba Commercial ORBs, pages 203-215, John Wiley & Sons, Inc., 1996.		
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B72	Anonymous, "Change-Notification Service for Shared Filed," IBM Technical Disclosure Bulletin, Vol. 36, No 8, pages 77-82, XP002109435 New York, US, November 1973.		
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Traversat et al

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Title:

CACHING MECHANISM FOR A VIRTUAL HEAP

Atty. Docket No. 5181-49800; P4435

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Tug. 9, 2001

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